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PTO/SB/21-6-98
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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MAR 12 2003

TRANSMITTAL FORM (to be used for all correspondence after initial filing) EV085429464	TECHNOLOGY CENTER 2000	Application Number	09/834,660
		Filing Date	April 21, 2001
		First Named Inventor	Luan C. Tran
		Group Art Unit	2813
		Examiner Name	L. Schillinger
Total Number of Pages in This Submission		Attorney Docket Number MI22-1637	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition Routing Slip (PTO/SB/09) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Return Receipt Postcard Petition for Revival of an Application (37 CFR 1.137(a)) Petition to Withdraw Holding of Abandonment (37 CFR 1.181)
Remarks		Customer no. 021567
Additional Enclosures: Petition to Revive (37 CFR 1.137(b)) Statement Attesting to Mailing Copies of Amendment Documents filed 4/30/2002 Copies of Auto-confirmation of Facsimiles sent 1/15/2002 & 3/4/2003		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	James D. Shaurette, Reg. No. 39,833 Wells St. John P.S.
Signature	
Date	3/4/03

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as <u>express</u> mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>3-4-2003</u>	
Typed or printed name	Rhonda Rambo
Signature	
Date	3/4/03

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START=MAR-04 10:31

END=MAR-04 10:44

FILE NO.=480



STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
001	OK		2*1637#17033086916	044/044 00	11:25

-WELLS ST JOHN PS

***** -5098383424 - ***** 5098383424- *****

EV085429464

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/834,660
 Filing Date April 12, 2001
 Inventor Luan C. Tran
 Assignee Micron Technology, Inc.
 Group Art Unit 2813
 Examiner Laura Schillinger
 Attorney's Docket No. MI22-1637
 Title: Semiconductor Processing Methods Of Forming Transistors, Semiconductor
 Processing Methods Of Forming Dynamic Random Access Memory Circuitry, and
 Related Integrated Circuitry

John Gillon
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CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (703) 308-6916 on the date shown below:

The following documents are enclosed:

1. Transmittal Form (PTO/SB/21)
2. Petition for Revival under 37 C.F.R. 1.137(b) (PTO/SB/64)
3. Petition for Revival under 37 C.F.R. 1.137(a) (PTO/SB/61)
4. Petition under 37 C.F.R. 1.181
5. Copy of Response to 1/30/2002 Office Action filed 4/30/2002 (including Ex-Post Declaration, PTO Stamped Return Receipt Postcard, and Check No. 13486) and Canceled Check No. 134863
6. Statement Attesting to Express Mailing of P.T.O. Correspondence Under 37 C.F.R. 10
7. Certificate of Transmission under 37 C.F.R. §1.8 (PTO/SB/97)
8. Copy of Auto-confirmation of Facsimile sent 1/15/2003

Dated: 3/4/2003

By: [Signature]

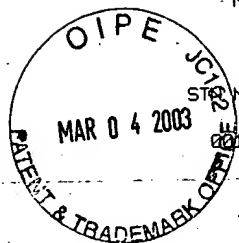
Natalie King
 Telephone No. (509) 624-4276
 Facsimile No. (509) 838-3424

NUMBER OF PAGES IN FACSIMILE: 44

MODE = MEMORY TRANSMISSION

START=JAN-15 12:31

END=JAN-15 12:42



FILE NO.=189

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-WELLS ST JOHN PS -

***** -5098383424 - ***** 5098383424- *****

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/834,660
 Filing Date April 12, 2001
 Inventor Luan C. Tran
 Assignee Micron Technology, Inc.
 Group Art Unit 2813
 Examiner Laura Schillinger
 Attorney's Docket No. MI22-1637
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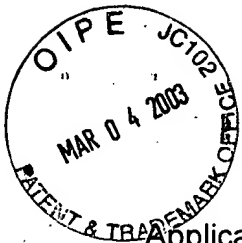
1. Transmittal Form (PTO/SB/21)
2. Petition for Revival under 37 C.F.R. 1.137(b) (PTO/SB/64)
3. Petition for Revival under 37 C.F.R. 1.137(a) (PTO/SB/61)
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6. Statement Attesting to Express Mailing of P.T.O. Correspondence Under 37 C.F.R. 10
7. Certificate of Transmission under 37 C.F.R. §1.8 (PTO/SB/97)

Dated: 1/15/2003

By:
 Natalia King
 Telephone No. (509) 624-4276
 Facsimile No. (509) 838-3424

NUMBER OF PAGES IN FACSIMILE: 42

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/834,660
Filing Date April 12, 2001
Inventor Luan C. Tran
Assignee Micron Technology, Inc.
Group Art Unit 2813
Examiner Laura Schillinger
Attorney's Docket No. MI22-1637
Title: Semiconductor Processing Methods Of Forming Transistors, Semiconductor
Processing Methods Of Forming Dynamic Random Access Memory Circuitry, and
Related Integrated Circuitry

EV085429464

John Gillon
Office of Petitions
Assistant Commissioner for Patents
Washington, D.C. 20231

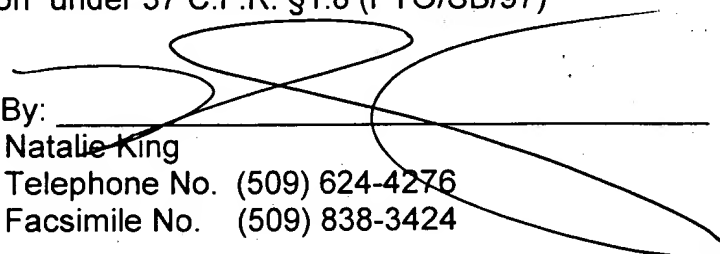
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4. Petition under 37 C.F.R. 1.181
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Post Declaration, PTO Stamped Return Receipt Postcard, and Check No.
13486) and Canceled Check No. 134863
6. Statement Attesting to Express Mailing of P.T.O. Correspondence Under 37
C.F.R. 10
7. Certificate of Transmission under 37 C.F.R. §1.8 (PTO/SB/97)

Dated: 4/15/2003

By: 
Natalie King
Telephone No. (509) 624-4276
Facsimile No. (509) 838-3424

NUMBER OF PAGES IN FACSIMILE: 42

Practitioner's Docket No. MI22-1637



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tran, Luan C.

Application No.: 09/834,660

Group No.: 2813

Filed: 04/12/2001

Examiner: Laura Schillinger

For: Semiconductor Processing Methods Of Forming Transistors, Semiconductor Processing Methods Of Forming Dynamic Random Access Memory Circuitry, and Related Integrated Circuitry

Assistant Commissioner for Patents
Washington, D.C. 20231

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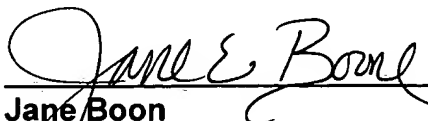
STATEMENT ATTESTING TO MAILING OF P.T.O. CORRESPONDENCE
UNDER 37 C.F.R. section 10

I state that on April 30, 2002, I mailed the original Response to 1/30/2002 Office Action, including the below-listed papers by express mail, in an envelope addressed to the "Assistant Commissioner for Patents, Washington, D.C. 20231." Copies of all papers are attached.

1. Return Receipt Postcard
2. Check no. 134863 for \$180.00.
3. Transmittal Form and Fee Transmittal.
4. Response to January 30, 2002 Office Action w/ a copy of the Filing Receipt and previously submitted 1449 which has not yet been initialed.
5. Supplemental Information Disclosure Statement, PTO Form 1449 and cited reference.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued therefrom.

Dated this 15th day of January, 2003, at Spokane, Washington..


Jane Boon



EV0327446

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION SERIAL NO. 09/834,660
FILING DATE April 12, 2001
INVENTORSHIP Luan C. Tran
ASSIGNEE Micron Technology, Inc.
GROUP ART UNIT 2813
EXAMINER L. Schillinger
ATTORNEY'S DOCKET NO. MI22-1637
TITLE: Semiconductor Processing Methods Of Forming Transistors, Semiconductor Processing Methods Of
Forming Dynamic Random Access Memory Circuitry, And Related Integrated Circuitry

EX POST DECLARATION OF EXPRESS MAILING

I hereby declare that I have deposited the below-listed papers with the United States Postal Service "Express Mail Post Office to Addressee" service, addressed and posted as indicated below, in compliance with 37 CFR 1.10. The Express Mail label No. has been placed on one or more of the papers listed.

Express Mail Number: EV 077327446 USDate of Deposit: April 30, 2002Time of Deposit: 434

AM/PM

Deposited By: ☒ Delivery to Postal Employee

Addressee: Assistant Commissioner For Patents
Box Fee Amendment
Washington, D.C. 20231

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- ☐ U.S. Post Office (Paulsen Bldg. Br.)
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Spokane, Washington 99202
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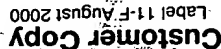
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Dated this 30th day of April, 2002, at Spokane, Washington.

JANE BOONE

FMF/rt



75

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134863

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04/30/02	MI22-1637 - Supplemental IDS Filing Fee (FF)		180.00		180.00

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MI22-1637
Micron Technology, Inc.

April 30, 2002

The stamp of the U.S. Patent and Trademark Office acknowledges receipt of the following papers relating to the Patent application of Luan C. Tran, Serial No. 09/834,660, filed April 12, 2001, entitled "Semiconductor Processing Methods Of Forming Transistors, Semiconductor Processing Methods Of Forming Dynamic Random Access Memory Circuitry, And Related Integrated Circuitry."

1. Return Receipt Postcard
2. A \$180.00 check
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5. Supplemental Information Disclosure Statement, PTO Form 1449 and cited reference.

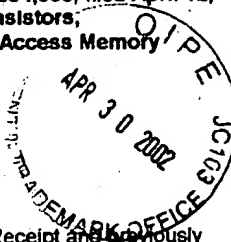
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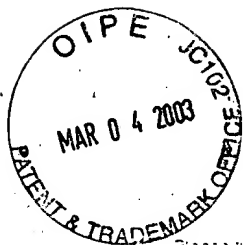
MI22-1637
Micron Technology, Inc.

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5. Supplemental Information Disclosure Statement, PTO Form 1449 and cited reference.





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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/834,660	
	Filing Date	April 12, 2001	
	First Named Inventor	Luan C. Tran	
	Group/Art Unit	2813	
	Examiner Name	L. Schillinger	
Total Number of Pages in This Submission	26	Attorney Docket Number	MI22-1637

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Postcard Previously submitted 1449 not yet initialed 1449 & cited reference
Remarks The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frederick M. Fliegel, Ph.D. Wells St. John P.S.	Reg. No. 36,138
Signature		
Date	Apr. 30, 2002	

EV085429464



EV 77327446

PTO/SB/17 (11-01)
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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

Complete if Known

Application Number 09/834,660
Filing Date April 12, 2001
First Named Inventor Luan C. Tran
Examiner Name L. Schillinger
Group Art Unit 2813
Attorney Docket No. MI22-1637

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 23-0925
Deposit Account Name Wells, St. John et al.

The Commissioner is authorized to: (check all that apply)

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☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$0)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 20 - 32 = 12 x Fee from below = 0
Independent Claims 4 - 6 = 2 x Fee from below = 0
Multiple Dependent Claims = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	180
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

SUBMITTED BY

Name (Print/Type) Frederick M. Fliegel, Ph.D.
Signature

Registration No. 36,138
(Attorney/Agent)

Complete (if applicable)

Telephone 509-624-4276

Date

Apr. 30, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/834,660	04/12/2001	2813	1604	MI22-1637	2	43	9

CONFIRMATION NO. 6625

021567
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SUITE 1300
601 W FIRST AVENUE
SPOKANE, WA 992013828

FILING RECEIPT



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Applicant(s)

Luan C. Tran, Meridian, ID;

Domestic Priority data as claimed by applicant

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Foreign Applications

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Projected Publication Date: 08/09/2001

Non-Publication Request: No

Early Publication Request: No

Title

Semiconductor processing methods of forming transistors, semiconductor processing methods of forming dynamic random access memory circuitry, and related integrated circuitry

Preliminary Class



PTO/SB/97 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
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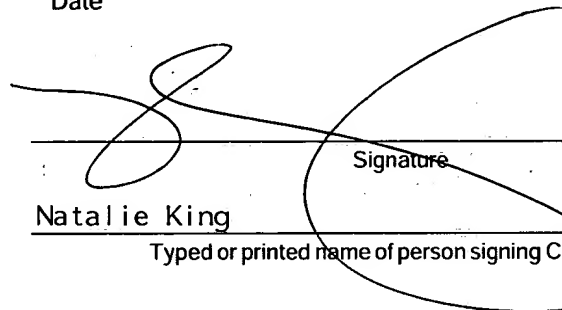
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4. Copy of the Response to 1/30/2002 Office Action (including) Ex-Post Declaration, PTO Stamped Return Receipt Postcard, and check no. 134863.
5. Petition under 37 C.F.R. §1.181
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